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* Admitted in TX only
** Admitted in CT only

Facsimile Cover Sheet

**To: Examiner Hoang M. Nguyen
Company: Commissioner for Patents
Location: Washington, D.C. 20231
Phone: (703) 308-3477
Fax: (703) 872-9302**

**From: David J. Rikkers
Phone: (617) 227-7400
Fax: (617) 742-4214**

**U.S. Serial No.: 10/030237
Atty. Docket No.: HCM-019US**

Date: October 6, 2003

**Sent By:
Pages including this
cover page: 16**

Message:

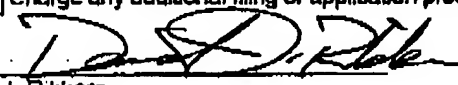
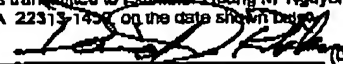
Transmitted herewith is an Amendment (9 pages), Transmittal (1 page, in duplicate), Fee Transmittal (1 page, in duplicate), and Petition for Extension of Time (1 page, in duplicate) in the above-identified application.

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AMENDMENT TRANSMITTAL LETTER				Docket No. HCM-019US	
Application No 10/030237-Conf. #5866		Filing Date May 23, 2002		Examiner Nguyen, Hoang M.	
				Art Unit 3748	
Applicant(s): John F Kemp					
Invention. APPARATUS FOR DERIVING ENERGY FROM WAVES					
TO THE COMMISSIONER FOR PATENTS					
Transmitted herewith is an Amendment (9 pages), Transmittal (1 page, in duplicate), Fee Transmittal (1 page, in duplicate), and Petition for Extension of Time (1 page, in duplicate) in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	16	- 20 =		x	
Independent Claims	2	- 3 =		x	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension for response within first month					55.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					55.00
<input type="checkbox"/> Large Entity <input checked="" type="checkbox"/> Small Entity					
<input type="checkbox"/> No additional fee is required for this amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. <u>12-0080</u> in the amount of \$ <u>55.00</u> A duplicate copy of this sheet is enclosed					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>12-0080</u> as described below. A duplicate copy of this sheet is enclosed					
<input checked="" type="checkbox"/> Credit any overpayment					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 David J. Rikkers Attorney Reg No. 43,882 LAHIVE & COCKFIELD, LLP 28 State Street Boston, Massachusetts 02109 (617) 227-7400				Dated: <u>October 6, 2003</u>	
I hereby certify that this correspondence is being facsimile transmitted to Examiner Hoang M. Nguyen at Facsimile No. 703-872-9302, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Dated October 6, 2003 Signature  (David J. Rikkers)					

Use in lieu of PTO/SBn7 (08-03)
(Form updated to reflect FY 2004 fees effective 10/1/03)

FEE TRANSMITTAL for FY 2004		Complete if Known	
<small>Effective 10/01/2003, Patent fees are subject to annual revision</small>		Application Number	10/030237-Conf. #5866
		Filing Date	May 23, 2002
		First Named Inventor	John F. Kemp
		Examiner Name	Nguyen, Hoang M
		Art Unit	3748
		Attorney Docket No	HCM-019US
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
TOTAL AMOUNT OF PAYMENT (\$)		55.00	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																																			
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1061</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - late filing fee or claim</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1063</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for a <i>patte</i> reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>65</td> <td>Extension for reply within first month</td> <td>55.00</td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252</td> <td>210</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253</td> <td>475</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254</td> <td>740</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255</td> <td>1,005</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>330</td> <td>2401</td> <td>165</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402</td> <td>165</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403</td> <td>145</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to revive - 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late filing fee or claim		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1063	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for a <i>patte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	65	Extension for reply within first month	55.00	1252	420	2252	210	Extension for reply within second month		1253	950	2253	475	Extension for reply within third month		1254	1,480	2254	740	Extension for reply within fourth month		1255	2,010	2255	1,005	Extension for reply within fifth month		1401	330	2401	165	Notice of Appeal		1402	330	2402	165	Filing a brief in support of an appeal		1403	290	2403	145	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,330	2453	665	Petition to revive - unintentional		1501	1,330	2501	665	Utility issue fee (if applicable)		1502	480	2502	240	Design issue fee		1503	640	2503	320	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(i)		1808	180	1808	180	Submission of information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		1801	770	2801	385	Request for Continued Examination (RCE)		1802	900	1802	600	Request for expedited examination of a design application	
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**or number previously paid, if greater. For Reissues, see above																																																																																																																																																																																					
SUBMITTED BY: Name (Print/Type) David J. Ridders Signature:  Supervision No (Attorney/Agent) 43,882 Telephone (617) 227-7400 Date October 6, 2003		(Complete if applicable) Date: October 6, 2003																																																																																																																																																																																			

I hereby certify that this correspondence is being facsimile transmitted to Examiner Hoang M. Nguyen at Facsimile No 703-872-9302, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Date: October 6, 2003

Signature:  (David J. Ridders)